

DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am an original, first and joint inventor of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled

AN APPARATUS AND METHOD FOR DEPOSITING A COATING ONTO
A SURFACE OF A PROSTHESIS

which (check) ☒ is attached hereto.
☐ and is amended by the Preliminary Amendment attached hereto.
☐ was filed on _____ as Application Serial No.
☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
N/A			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Alan H. MacPherson (24,423); Brian D. Ogonowsky (31,988); David W. Heid (25,875); Norman R. Klivans (33,003); Edward C. Kwok (33,938); David E. Steuber (25,557); Michael Shenker (34,250); Stephen A. Terrile (32,946); Peter H. Kang (40,350); Ronald J. Meetin (29,089); Ken John Koestner (33,004); Omkar K. Suryadevara (36,320); David T. Millers (37,396); Kent B. Chambers (38,839); Michael P. Adams (34,763); Robert B. Morrill (43,817); Michael J. Halbert (40,633); Gary J. Edwards (41,008); James E. Parsons (34,691); Daniel P. Stewart (41,332); Philip W. Woo (39,880); John T. Winburn (26,822); Tom Chen (42,406); Fabio E. Marino (43,339); William W. Holloway (26,182); Don C. Lawrence (31,975); Marc R. Ascolese (42,268); Carmen C. Cook (42,433); David G. Dolezal (41,711); Roberta P. Saxon (43,087); Mary Jo Bertani (42,321); Dale R. Cook (42,434); Sam G. Campbell (42,381); Matthew J. Brigham (44,047); Hugh H. Matsubayashi (43,779); Patrick D. Benedicto (40,909); T.J. Singh (39,535); Shireen Irani Bacon (40,494); Rory G. Bens (44,028); George Wolken, Jr. (30,441); John A. Odozynski (28,769); Cameron K. Kerrigan (44,826); Kenneth C. Brooks (38,393); Paul E. Lewkowicz (44,870); Theodore P. Lopez (44,881); Mayankkumar M. Dixit (44,064); Eric Stephenson (38,321); Earl A. Bright II (37,045); Thomas A. Hassing (36,159); Tim L. Kitchen (41,900); and Philip S. Yip (37,265).

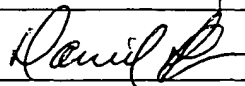
Please address all correspondence and telephone calls to:

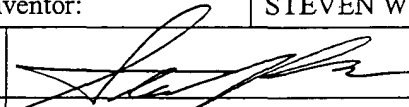
Peter H. Kang
Attorney for Applicants
SKJERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP
25 Metro Drive, Suite 700
San Jose, California 95110-1349

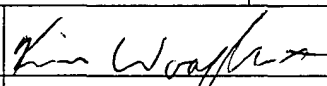
Telephone: 408-453-9200
Facsimile: 408-453-7979

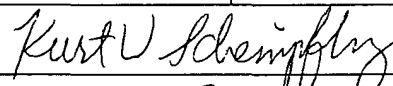
I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

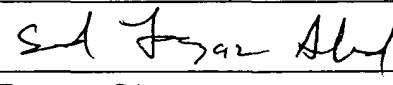
Divisional Application
Docket No. 50623.305
Copy from 10/043,500

Full name of first inventor:		DANIEL CASTRO	
Inventor's Signature:		Date:	5/25/00
Residence:	Santa Clara, CA		
Post Office Address:	271 Woodhams Road Santa Clara, CA 95051	Citizenship:	USA

Full name of second inventor:		STEVEN WU	
Inventor's Signature:		Date:	5/25/00
Residence:	Santa Clara, CA		
Post Office Address:	2299 Lenox Place Santa Clara, CA 95054	Citizenship:	USA

Full name of third inventor:		KEVIN L. WOOLBRIGHT	
Inventor's Signature:		Date:	5/25/00
Residence:	Sunnyvale, CA		
Post Office Address:	600 East Weddell Drive, #136 Sunnyvale, CA 94089	Citizenship:	USA

Full name of fourth inventor:		KURT W. SCHEINPFLUG	
Inventor's Signature:		Date:	5.25.00
Residence:	Santa Clara, CA Sunnyvale, CA KS		
Post Office Address:	1035 Aster Avenue., #2185 Sunnyvale, CA 94086	Citizenship:	USA

Full name of fifth inventor:		SYED F.A. HOSSAINY	
Inventor's Signature:		Date:	5/25/00
Residence:	Fremont, CA		
Post Office Address:	34325 Tupelo Street Fremont, CA 94555	Citizenship:	Bangladesh

Full name of sixth inventor:	LI CHEN		
Inventor's Signature:	<i>Li Chen</i>	Date:	<i>5/25/00</i>
Residence:	San Jose, CA		
Post Office Address:	5219 Ironshoe Drive San Jose, CA 95138	Citizenship:	Republic of China

Divisional Application
Docket No. 50623.305
Copy from 10/043,500

Divisional Application
Docket No, 50623.305
Copy from 10/043,500



M-8100 US
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 09, 2000

PTAS

SKJERVEN, MORRILL, MACPHERSON, ET AL.
KEN J. KOESTNER
610 NEWPORT CENTER DRIVE, SUITE 330
NEWPORT BEACH, CA 92660



101384243A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/31/2000

REEL/FRAME: 010853/0184

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CASTRO, DANIEL

DOC DATE: 05/25/2000

ASSIGNOR:

WU, STEVEN

DOC DATE: 05/25/2000

ASSIGNOR:

WOOLBRIGHT, KEVIN L.

DOC DATE: 05/25/2000

ASSIGNOR:

SCHEINPFLUG, KURT W.

DOC DATE: 05/25/2000

ASSIGNOR:

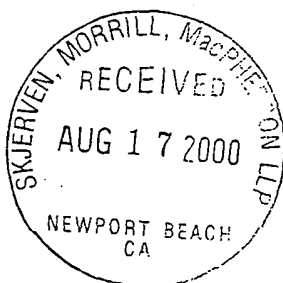
HOSSAINY, SYED F.A.

DOC DATE: 05/25/2000

ASSIGNOR:

CHEN, LI

DOC DATE: 05/25/2000



Divisional Application
Docket No. 50623.305
Copy from 10/043,500

010853/0184 PAGE 2

ASSIGNEE:

ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09583371
PATENT NUMBER:

FILING DATE: 05/31/2000
ISSUE DATE:

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ATTORNEY DOCKET NO: M-8100 US

06-19-2000



ORDINATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101384243

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (a) Daniel Castro
- (b) Steven Wu
- (c) Kevin L. Woolbright
- (d) Kurt W. Scheinpfug
- (e) Syed F.A. Hossainy
- (f) Li Chen

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Street Address: 3200 Lakeside Drive

City: Santa Clara State: CA Zip: 95054

Country: USA

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: May 25, 2000

Name and address of receiving party(ies):

Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Country: _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 25, 2000

A. Patent Application No.(s) -

Title: An Apparatus and Method for Depositing a Coating onto a Surface of a Prosthesis

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ken J. Koestner

Internal Address: SKJERVEN, MORRILL, MacPHERSON,

FRANKLIN & FRIEL LLP

Street Address: 610 Newport Center Drive, Suite 330

City Newport Beach State CA ZIP 92660

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Authorized to be charged to Deposit Account 19-2386
- ☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

06/16/2000 ASCOTT 00000043 192386 09583371

DO NOT USE THIS SPACE

FC-501 40.00 CH

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ken J. Koestner

Name of Person Signing

Ken Koestner
Signature

5/31/00
Date

Total number of pages comprising cover sheet: 5

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we Daniel Castro; Steven Wu; Kevin L. Woolbright; Kurt W. Scheinplug; Syed F.A. Hossainy and Li Chen hereby sell, assign and transfer to Advanced Cardiovascular Systems, Inc., a California corporation, having a principal place of business at 3200 Lakeside Drive, Santa Clara, CA 95054, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

AN APPARATUS AND METHOD FOR DEPOSITING A COATING ONTO A SURFACE OF A PROSTHESIS

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

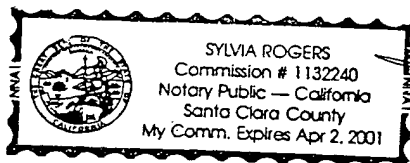
Executed this 25th day of May, 2000.

Daniel Castro
DANIEL CASTRO

State of California ss.
County of Santa Clara

On MAY 25, 2000 before me, Sylvia Rogers
personally appeared Daniel Castro ~~personally~~
known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.

WITNESS my hand and official seal.



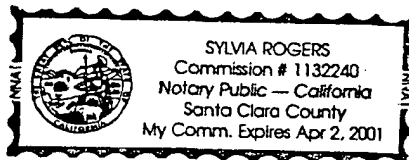
Sylvia Rogers
SIGNATURE OF NOTARY

Executed this 25th day of May, 2000.

State of California
County of Santa Clara ss.

Steven Wu
STEVEN WU

On May 25, 2000 before me, Sylvia Rogers
personally appeared Steven Wu personally
known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.

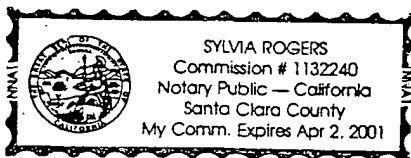
Sylvia Rogers
SIGNATURE OF NOTARY

Executed this 25 day of May, 2000.

State of California
County of Santa Clara ss.

Kevin L. Woolbright
KEVIN L. WOOLBRIGHT

On May 25, 2000 before me, Sylvia Rogers
personally appeared Kevin L. Woolbright personally
known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.

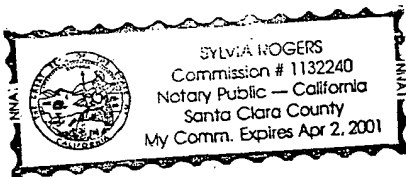
Sylvia Rogers
SIGNATURE OF NOTARY

Executed this 25th day of May, 2000.

State of California ss.
County of Santa Clara

Kurt W. Scheinpfug
KURT W. SCHEINPFUG

On May 25, 2000 before me, Sylvia Rogers
personally appeared Kurt W. Scheinpfug personally
known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.

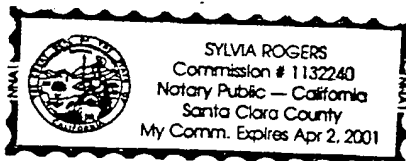
[Signature]
SIGNATURE OF NOTARY

Executed this 25 day of MAY, 2000.

State of California ss.
County of Santa Clara

[Signature]
SYED F.A. HOSSAINY

On May 25, 2000 before me, Sylvia Rogers
personally appeared Syed F.A. Hossainy personally
known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]
SIGNATURE OF NOTARY

Executed this 25th day of May, 2000.

Li Chen
LI CHEN

State of California
County of Santa Clara ss.

On May 25, 2000 before me, Sylvia Rogers
personally appeared Li Chen ~~personally~~
~~known to me~~ or proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)
acted, executed the instrument.



WITNESS my hand and official seal.

Sylvia Rogers
SIGNATURE OF NOTARY